



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 38005-148

First Inventor Bernd KIRSCHBAUM et al.

Title IDENTIFICATION AND PURIFICATION OF HIGHER ORDER TRANSCRIPTION COMPLEXES FROM TRANSGENIC NON-HUMAN ANIMALS

Express Mail Label No.

JC971 U.S.P.T.O.
09/849243
05/07/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 61]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets]
- Oath or Declaration [Total Pages 4]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Copy of Claim for Convention Priority from parent

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: 09 / 084,219

Prior application information: Examiner A. Baker

Group / Art Unit: 1632

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer Number or Bar code label here)		<input type="checkbox"/> Correspondence address below	
Name	26633				
	PATENT TRADEMARK OFFICE				
Address					
City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	Patricia D. Granados	Registration No. (Attorney/Agent)	33,683
-------------------	----------------------	-----------------------------------	--------

Signature		Date	May 7, 2001
-----------	--	------	-------------

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual applicant. Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

26633

PATENT TRADEMARK OFFICE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1176)

Complete if Known	
Application Number	NEW
Filing Date	May 7, 2001
First Named Inventor	Bernd KIRSCHBAUM et al.
Examiner Name	A. Baker
Group / Art Unit	1632
Attorney Docket No.	38005-148

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				3. ADDITIONAL FEES				
Deposit Account Number 08-1641				Large Entity	Small Entity	Fee Description		
				Fee Code	Fee (\$)	Fee Code	Fee (\$)	
				105	130	205	65	Surcharge - late filing fee or oath
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet
Deposit Account Name Heller Ehrman White & McAuliffe LLP				139	130	139	130	Non-English specification
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				147	2,520	147	2,520	For filing a request for reexamination
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				112	920*	112	920*	Requesting publication of SIR prior to Examiner action
2. <input checked="" type="checkbox"/> Payment Enclosed:				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				115	110	215	55	Extension for reply within first month
				116	390	216	195	Extension for reply within second month
				117	890	217	445	Extension for reply within third month
				118	1,390	218	695	Extension for reply within fourth month
				128	1,890	228	945	Extension for reply within fifth month
				119	310	219	155	Notice of Appeal
				120	310	220	155	Filing a brief in support of an appeal
				121	270	221	135	Request for oral hearing
				138	1,510	138	1,510	Petition to institute a public use proceeding
				140	110	240	55	Petition to revive – unavoidable
				141	1,240	241	620	Petition to revive – unintentional
				142	1,240	242	620	Utility issue fee (or reissue)
				143	440	243	220	Design issue fee
				144	600	244	300	Plant issue fee
				122	130	122	130	Petitions to the Commissioner
				123	130	123	130	Petitions related to provisional applications
				126	180	126	180	Submission of Information Disclosure Stmt
				581	40	581	40	Recording each patent assignment per property (times number of properties)
				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
				149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
				179	710	279	355	Request for Continued Examination (RCE)
				169	900	169	900	Request for expedited examination of a design application
3. SUBTOTAL (1) (\$ 710)				Other fee (specify) _____				
4. EXTRA CLAIM FEES				*Reduced by Basic Filing Fee Paid				
Total Claims 37 -20 = 17 X 18 = 306				SUBTOTAL (3) (\$ 0)				
Independent Claims 5 -3 = 2 X 80 = 160								
Multiple Dependent 0 X 270 = 0								
5. BASIC FILING FEE								
Large Entity	Small Entity	Fee Description		Fee Paid				
Fee Code	Fee (\$)	Fee Code	Fee (\$)					
101	710	201	355	710				
106	320	206	160					
107	490	207	245					
108	710	208	355					
114	150	214	75					
SUBTOTAL (1) (\$ 710)								
6. EXTRA CLAIM FEES								
Total Claims 37 -20 = 17 X 18 = 306								
Independent Claims 5 -3 = 2 X 80 = 160								
Multiple Dependent 0 X 270 = 0								
7. ADDITIONAL FEES								
Large Entity	Small Entity	Fee Description		Fee Paid				
Fee Code	Fee (\$)	Fee Code	Fee (\$)					
103	18	203	9					
102	80	202	40					
104	270	204	135					
109	80	209	40					
110	18	210	9					
SUBTOTAL (2) (\$ 466)								
**or number previously paid, if greater; For Reissues, see above								

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Patricia D. Granados	Registration No. Attorney/Agent)	33,683	Telephone	(202) 912-2000
Signature	<i>Patricia D. Granados</i>			Date	May 7, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT TRADEMARK OFFICE